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## THE STRUCTURE AND PROPERTIES OF Er<sub>2</sub>O<sub>3</sub> AND Yb<sub>2</sub>O<sub>3</sub> BASED CERAMIC MATERIALS, SINTERED UNDER HIGH PRESSURE

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### Cover Page Footnote

The work was carried out within the framework of task 2.8 of the State Program of Scientific Research “Materials Science, New Materials and Technologies” for 2021–2025 in the Republic of Belarus. The authors are grateful to researcher T.D. Malikina for assistance in preparing samples and analyzing their phase composition, as well as to S.V. Grigoriev, leading engineer of the Polytechnic Scientific and Production Association of the Belarusian National Technical University, for recording X-ray diffraction patterns. Special thanks to the “Belmikroanaliz” State Center of OJSC “INTEGRAL” – INTEGRAL Holding Management Company for assistance in obtaining micrographs of the sample structure using a scanning electron microscope.

## THE STRUCTURE AND PROPERTIES OF $\text{Er}_2\text{O}_3$ AND $\text{Yb}_2\text{O}_3$ BASED CERAMIC MATERIALS, SINTERED UNDER HIGH PRESSURE

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The aim of the work was to obtain high-density optically transparent ceramic samples by sintering cubic modification  $\text{Er}_2\text{O}_3$  and  $\text{Yb}_2\text{O}_3$  oxides micropowders at the pressure of 7 GPa and the heating current power of 0.6–1.0 kW, which was demonstrated. Non-monotonic dependence of the density on the heating current power has been established. The maximum density values of 9.2 g/cm<sup>3</sup> ( $\text{Er}_2\text{O}_3$ ) and 9.8 g/cm<sup>3</sup> ( $\text{Yb}_2\text{O}_3$ ) were achieved at the power of 0.8 and 0.9 kW, respectively. During sintering, the cubic phase partially transforms into the monoclinic phase, which is preserved in the obtained samples. The ceramics compaction process is accompanied by grain growth, more intense in erbium oxide samples. At sintering  $\text{Yb}_2\text{O}_3$  micro-powder with particle size of 7.5–15 μm with the lowest heating current power value of 0.6 kW a microstructure similar to the nanocrystalline with the grain size of 90–200 nm, which contributes to higher transparency in the infrared wavelength range.  $\text{Er}_2\text{O}_3$  samples have a discontinuous transmission spectrum, while  $\text{Yb}_2\text{O}_3$  samples – a continuous one in the wavelength range of 400–850 nm. The maximum transmission values for ceramic samples are 29% at a wavelength of 750 nm, 52% at 849 nm for  $\text{Er}_2\text{O}_3$  and 72% at 830 nm for  $\text{Yb}_2\text{O}_3$ .

**Keywords:** erbium oxide, ytterbium oxide, high pressure sintering, density, microstructure, phase composition, transparency

## СТРУКТУРА И СВОЙСТВА КЕРАМИЧЕСКИХ МАТЕРИАЛОВ НА ОСНОВЕ ОКСИДОВ $\text{Er}_2\text{O}_3$ И $\text{Yb}_2\text{O}_3$ , СПЕЧЕННЫХ ПОД ВЫСОКИМ ДАВЛЕНИЕМ

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Целью работы было получение высокоплотных оптически прозрачных керамических образцов спеканием микропорошков оксидов  $\text{Er}_2\text{O}_3$  и  $\text{Yb}_2\text{O}_3$  кубической модификации при давлении 7 ГПа и мощности тока нагрева 0,6–1,0 кВт, что и было продемонстрировано. Установлена немонотонная зависимость плотности от мощности тока нагрева. Максимальные значения плотности 9,2 г/см<sup>3</sup> ( $\text{Er}_2\text{O}_3$ ) и 9,8 г/см<sup>3</sup> ( $\text{Yb}_2\text{O}_3$ ) были достигнуты при мощности 0,8 и 0,9 кВт соответственно. При спекании кубическая фаза частично переходит в моноклинную, которая сохраняется в полученных образцах. Процесс уплотнения керамики сопровождается ростом зерна, более интенсивным в образцах оксида эрбия. При спекании микропорошка  $\text{Yb}_2\text{O}_3$  с размером частиц 7,5–15 мкм при самом низком значении мощности тока нагрева 0,6 кВт формируется микроструктура, близкая к нанокристаллической, с размером зерна 90–200 нм, что способствует более высокой прозрачности в ИК диапазоне длин волн. Для образцов  $\text{Er}_2\text{O}_3$  характерен прерывистый спектр пропускания, а для  $\text{Yb}_2\text{O}_3$  непрерывный в диапазоне длин волн 400–850 нм. Максимальные значения пропускания керамических образцов составляют 29% при длине волны 750 нм, 52% при 849 нм для  $\text{Er}_2\text{O}_3$  и 72% при 830 нм для  $\text{Yb}_2\text{O}_3$ .

**Ключевые слова:** оксид эрбия, оксид иттербия, спекание под высоким давлением, плотность, микроструктура, фазовый состав, прозрачность

## $\text{Er}_2\text{O}_3$ VA $\text{Yb}_2\text{O}_3$ YUQORI BOSIMDAGI SINTERLANGAN OKSIDLAR ASOSIDAGI KERAMIK MATERIALLARNING TUZILISHI VA XUSUSIYATLARI

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Ushbu tadqiqotning maqsadi 7 GPa bosim va 0,6–1,0 kVt isitish oqimida kubik  $\text{Er}_2\text{O}_3$  va  $\text{Yb}_2\text{O}_3$  oksidlarining mikrochanglarini sinterlash orqali yuqori zichlikdagi, optik shaffof keramik namunalarni ishlab chiqarish edi. Isitish oqimiga zichlikning monoton bo'lgan bog'liqligi aniqlandi. 9,2 g / sm<sup>3</sup> ( $\text{Er}_2\text{O}_3$ ) va 9,8 g / sm<sup>3</sup> ( $\text{Yb}_2\text{O}_3$ ) maksimal zichlik qiymatlariga mos ravishda 0,8 va 0,9 kVt isitish oqimida erishildi. Sinterlash jarayonida kub faza qisman monoklinik fazaga aylanadi, bu esa olingan namunalarda saqlanadi. Seramika zichlash jarayoni donning o'sishi bilan birga kelaadi, bu erbiy oksidi namunalarida kuchliroqdir.  $\text{Yb}_2\text{O}_3$  mikrochangini zarracha hajmi 7,5–15 mkm bo'lgan 0,6 kVt eng past isitish oqimida sinterlashda nanokristalga yaqin mikro tuzilma hosil bo'ladi, don o'lchami 90–200 nm bo'lib, bu IQ to'liq uzunligi diapazonida shaffoflikni oshirishga yordam beradi.  $\text{Er}_2\text{O}_3$  namunalari uzluksiz uzatish spektri bilan tavsiflanadi.  $\text{Yb}_2\text{O}_3$  esa 400–850 nm to'liq uzunligi oralig'ida uzluksiz spektrga ega. Keramika namunalarning maksimal o'tkazuvchanlik qiymatlari 750 nm to'liq uzunligida 29%,  $\text{Er}_2\text{O}_3$  uchun 849 nm da 52% va  $\text{Yb}_2\text{O}_3$  uchun 830 nm da 72% ni tashkil qiladi.

**Kalit so'zlar:** erbiy oksidi, itterbiy oksidi, yuqori bosimli sinterlash, zichlik, mikro tuzilma, faza tarkibi, shaffoflik

## Introduction

In recent years there has been a significant increase in the interest in producing optical ceramics that is based on oxides of various elements instead of the more expensive single crystals. Such ceramics have a number of advantages: the cost effectiveness of the production method, better mechanical properties, reduction of production time, possibility to control the form and organization of the large-scale production. Essential requirements for obtaining optical ceramics are high density, absence of the second phase at the boundaries of the grains or pores, small size of grains as compared to the visible light wavelength, and isotropic crystal lattice. The main ways of manufacturing such ceramics are hot pressing, hot isostatic pressing, vacuum sintering, spark plasma sintering, microwave sintering [1–4].

High pressure sintering method has a number of advantages over the other sintering methods. It does not require introduction of activating additives, ensures a high degree of initial powder compaction, requires less sintering time and provides for the formation of the nanocrystalline structure of the material being sintered [5, 6].

At present the promising compounds for production of optical ceramic materials for various uses are refractory REE sesquioxides due to their polymorphism and possibility of up to five different types of crystal lattices [7–17]. The most characteristic types are A-hexagonal, B-monoclinic and C-cubic lattice [18, 19]. Under the normal conditions they usually have isotropic cubic lattice. When heated, cubic modification turns into monoclinic modification. There is evidence of the phase transitions in such materials directly under high pressure [20–25] and after the thermal treatment at ultra-high pressures [26–28]. Nevertheless, there is practically no information on the structure formation and properties of ceramics based on these oxides sintered under high pressure.

The objective of study is to examine the possibility of producing transparent ceramics based on erbium and ytterbium oxides by high pressure sintering and to study their microstructure and properties.

## Research methods

The starting materials were erbium and ytterbium oxide powders with a purity of 99.5 % and

99.92 % respectively. The powders were pre-annealed in a SNOL 6.7/1300 muffle furnace at a temperature of 1000 °C for 2 h. Samples with a diameter of 6.3 mm and a height of 4.3 mm were pressed in a steel mold at a pressure of 500 MPa. Sintering of the samples was carried out at a pressure of 7 GPa and a heating current of 0.6–1.0 kW in a high-pressure apparatus for 30 s [29]. For this aim, a DO137A pressing unit with a force of 5 MN, equipped with a KS-5 automated sintering mode control system, was used [30].

The density was determined by hydrostatic weighing in  $\text{CCl}_4$ .

The crystal structure was investigated by X-ray diffraction (XRD) measurements using DRON-7 diffractometer.

Electron microscopic studies were carried out using a scanning electron microscope S-4800 «Hitachi» (Japan).

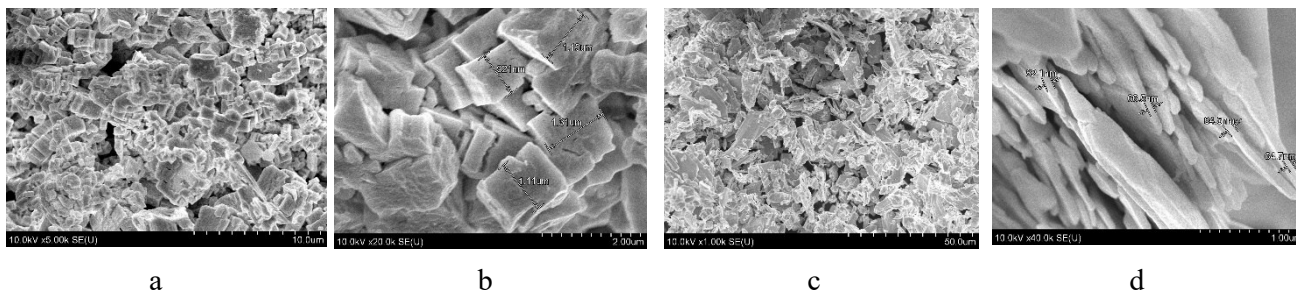
The optical transmission spectra were measured using an MDR-23U monochromator. A R91100 photomultiplier (Hamamatsu, Japan) with a spectral sensitivity range of 200–850 nm was used as a radiation detector. A 170-W tungsten incandescent lamp (KMC-17, Russia) served as a light source. The signal from the photodetector was fed to a preamplifier and then to a Unipan 232 B narrowband amplifier. Electrical signals were recorded at a frequency of ~20 Hz, followed by conversion of the main and reference signals into DC voltage using the phase detection method.

## Results and Discussion

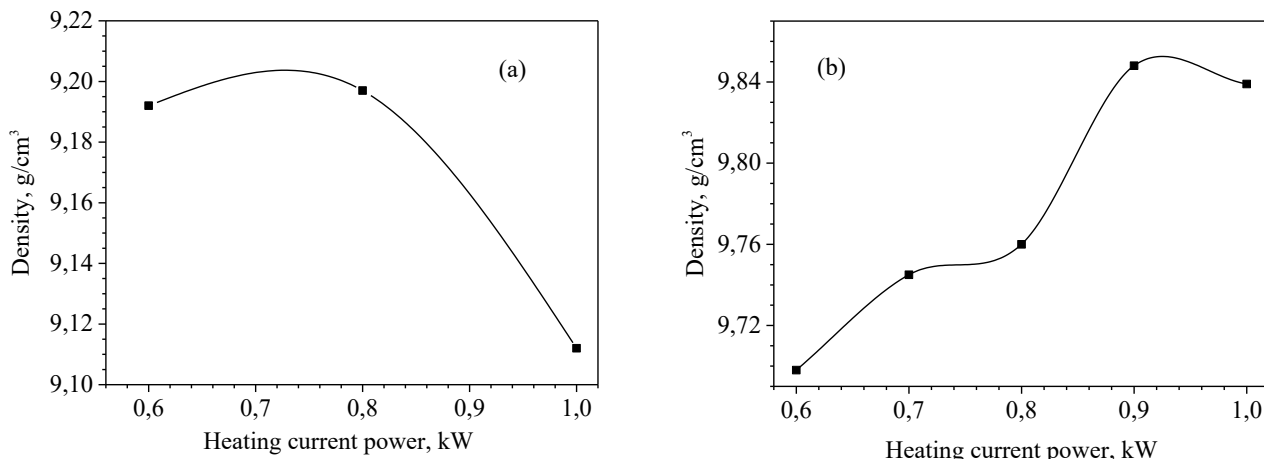
Fig. 1 shows the microphotographs of  $\text{Er}_2\text{O}_3$  and  $\text{Yb}_2\text{O}_3$  initial powders with different magnifications.  $\text{Er}_2\text{O}_3$  powder particles are a collection of acute-angled blocks predominantly in the form of parallelepipeds ranging from 920 nm to 1300 nm in size.  $\text{Yb}_2\text{O}_3$  powder particles have spiky-lamellar shape with the size of plate of 7.5–15  $\mu\text{m}$  and thickness of ~65–85 nm.

Figure 2 shows the dependence of the density of sintered erbium and ytterbium oxides samples on the heating current power. Electron micrographs of sintered samples splits are shown in Figure 3 and Figure 4.

The change in density at the rise of the sintering temperature of  $\text{Er}_2\text{O}_3$  and  $\text{Yb}_2\text{O}_3$  samples is non-monotonic (Fig. 2). For  $\text{Er}_2\text{O}_3$ , the maximum density value of 9.2  $\text{g}/\text{cm}^3$  is reached at  $W = 0.8$  kW, and that for  $\text{Yb}_2\text{O}_3$  of 9.8  $\text{g}/\text{cm}^3$  – at  $W = 0.9$



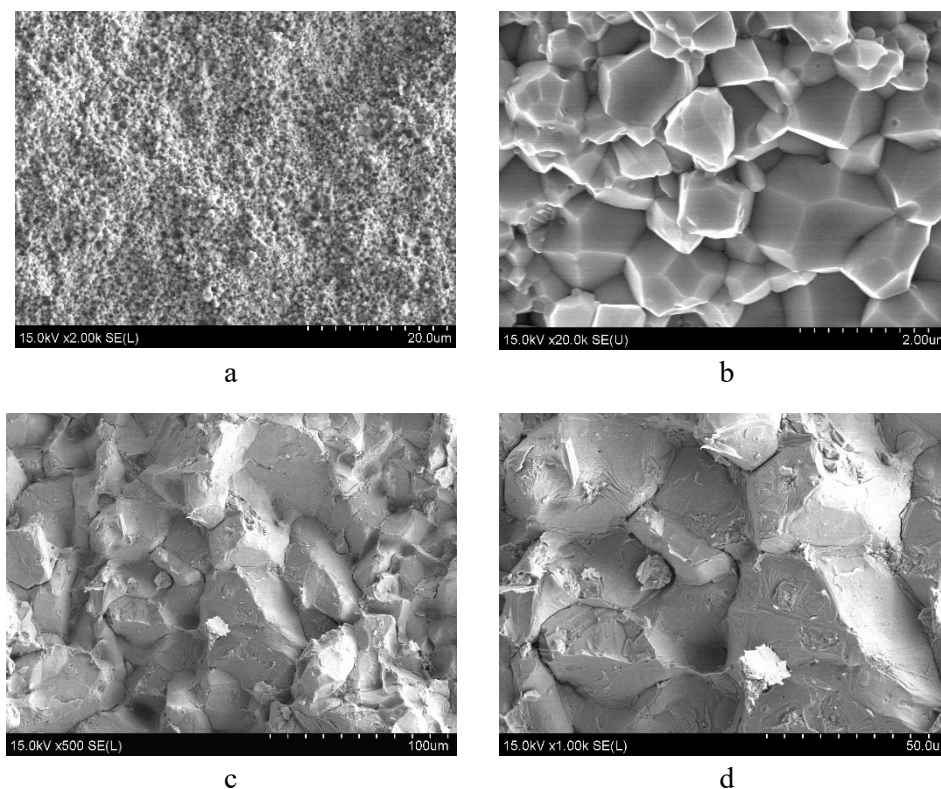
**Figure 1.** Microphotographs of  $\text{Er}_2\text{O}_3$  (a, b) and  $\text{Yb}_2\text{O}_3$  (c, d) initial powders with different magnifications  
*a – x5.000; b – x20.000; c– x1.000; d– x40.000.*



**Figure 2.** Dependence of the density of sintered  $\text{Er}_2\text{O}_3$  (a) и  $\text{Yb}_2\text{O}_3$  (b) samples on the heating current power.

kW due to the powder compaction, the initial cubic phase conversion into the monoclinic phase, and the recrystallization processes. The heating current power W increase during erbium oxide

samples sintering leads to the intensive grain growth. At the heating current power of 0.6 kW, the grain size is 310–1740 nm (Fig. 3, a, b), and at 0.8 kW and 1.0 kW its value reaches 10–54  $\mu\text{m}$



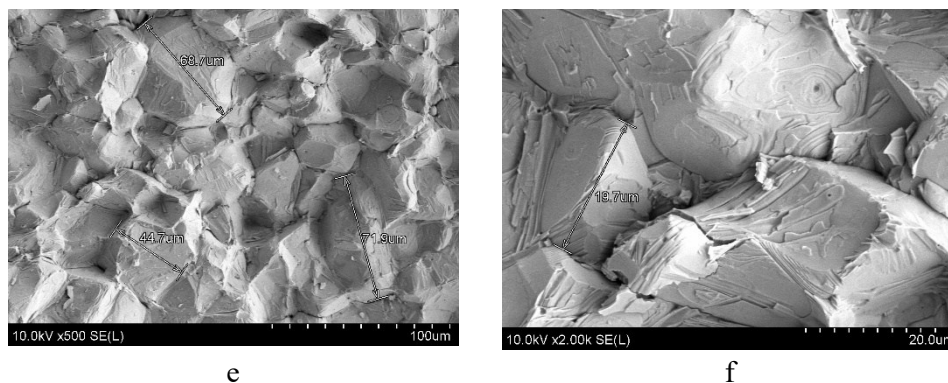


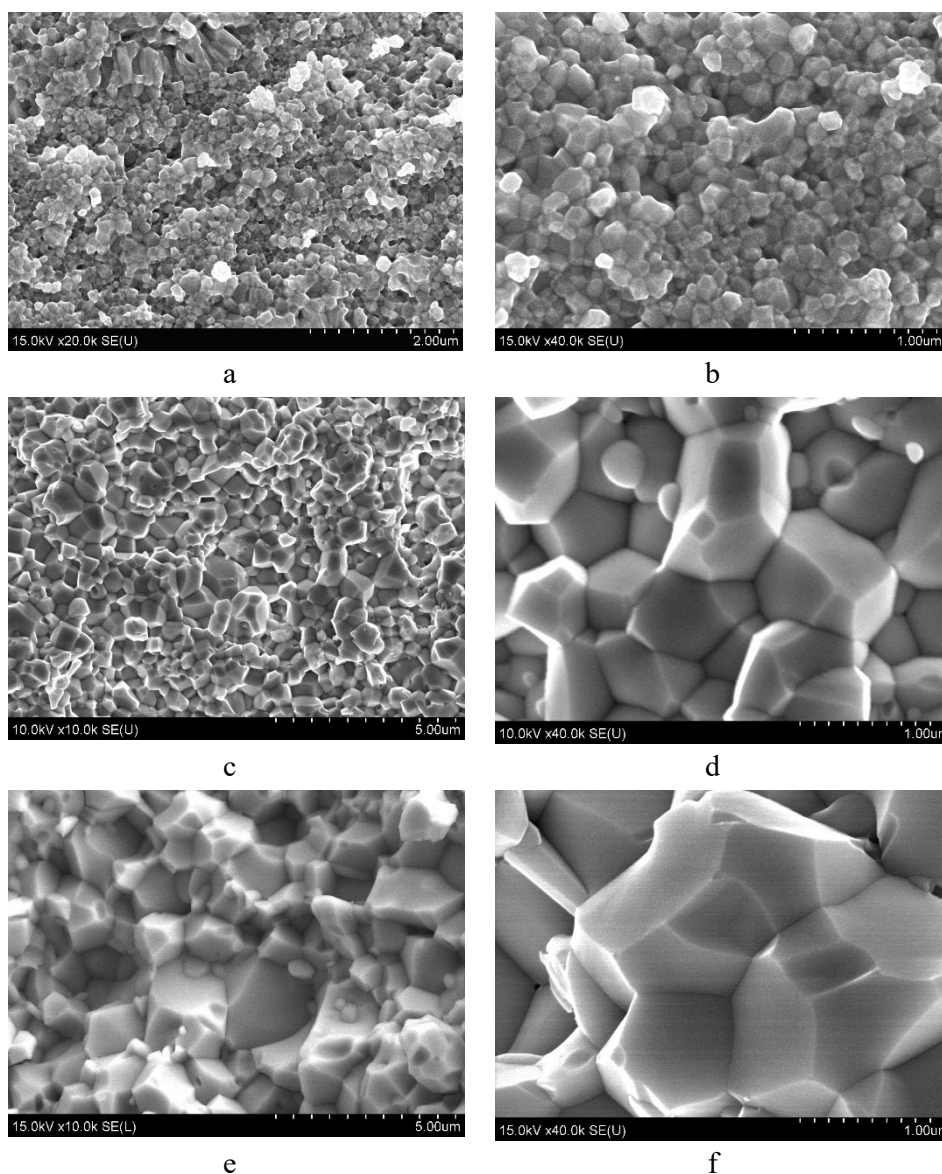
Figure 3. Microfractograms of  $Er_2O_3$  samples sintered at a heating current power of 0.6 kW (a, b); 0.8 kW (c, d); 1.0 kW (e, f) at different magnifications: a, f –  $\times 2,000$ ; b –  $\times 20,000$ ; c, e –  $\times 500$ ; d –  $\times 1,000$ .

(Fig. 3, c, d) and 20–72  $\mu m$  (Fig. 3, e, f) respectively.

The analysis of microphotographs of fractures (Fig. 3 and Fig. 4) shows the intercrystallite type of destruction of erbium and ytterbium oxides

samples that were sintered at low heating current power (Fig. 3, c–f), and transcrystallite at high heating current power values (Fig. 4, g, h).

Figures 5a and 5b shows diffractograms of initial powders and sintered  $Er_2O_3$  and  $Yb_2O_3$  sam-



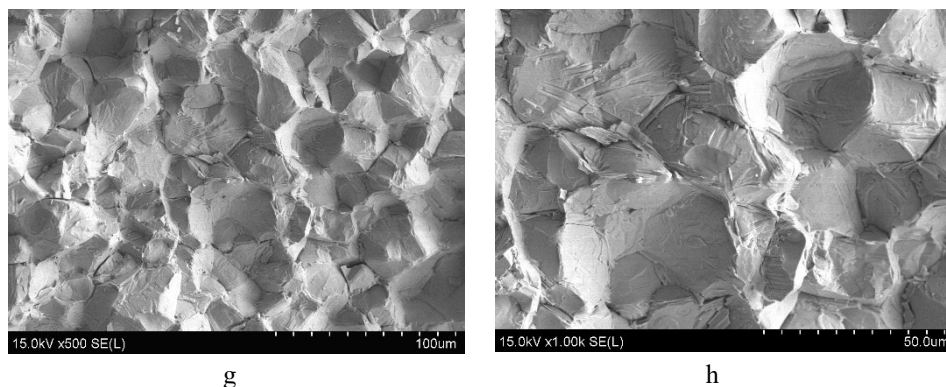


Figure 4. Microfractograms of  $\text{Yb}_2\text{O}_3$  samples sintered at a heating current power of 0.6 kW (a, b); 0.7 kW (c, d); 0.8 kW (e, f); 1.0 kW (g, h) at magnification: a – x20,000; b, d, f – x40,000; c, e, – x10,000; g – x500; h – x1,000.

ples. These data prove that initial erbium and ytterbium oxide powders are single-phase and contain only cubic modification. During high-pressure sintering, a part of cubic modification is

converted into monoclinic one, which is preserved in obtained samples. In addition, sintered yttrium oxide samples contain a small amount of  $\text{Yb}_3\text{O}_4$  and  $\text{YbO}$  oxides (Fig. 5, b).

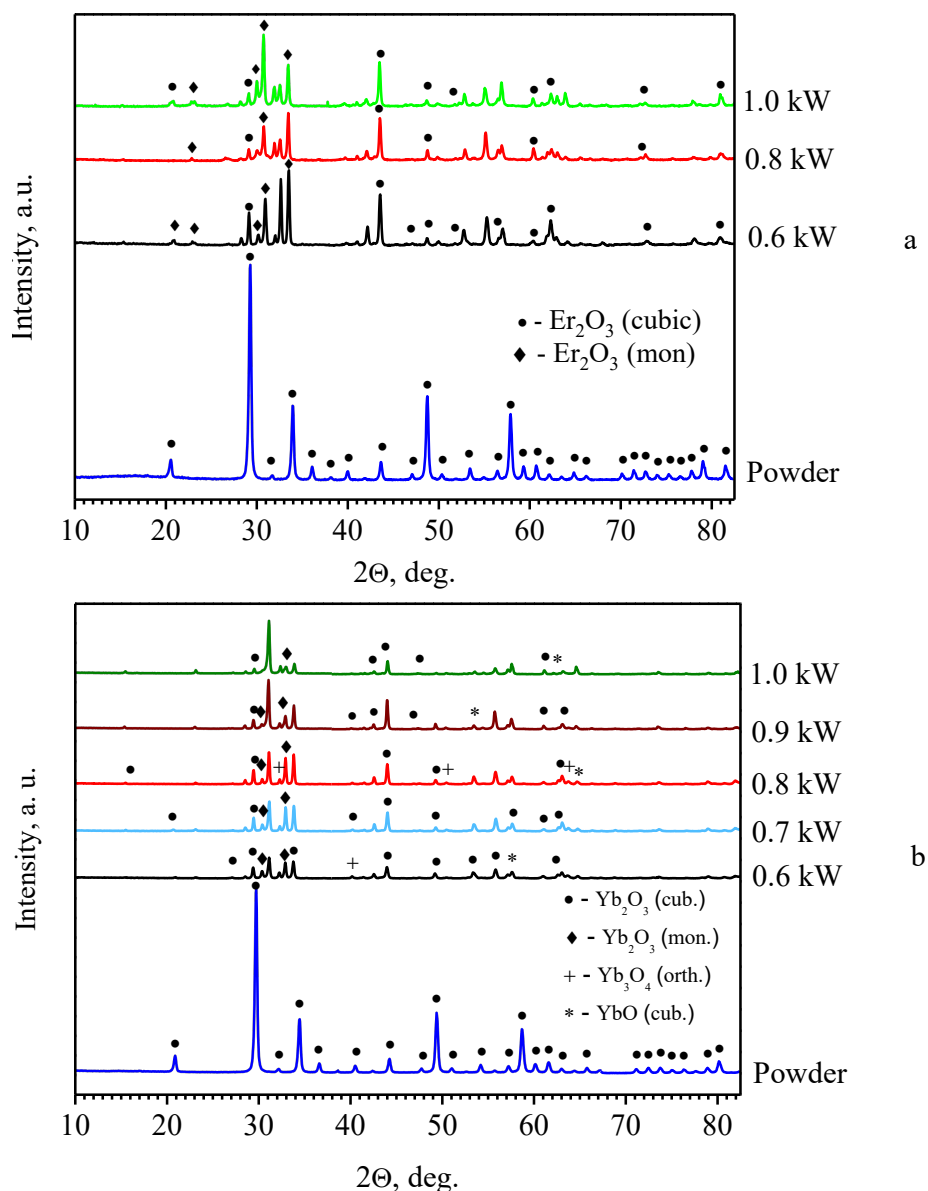


Figure 5. XRD patterns of initial powders and sintered  $\text{Er}_2\text{O}_3$  (a) and  $\text{Yb}_2\text{O}_3$  (b).

The transmission spectra in the wavelength range of 400–850 nm are shown in the Fig. 6. You can see that  $\text{Er}_2\text{O}_3$  has a discontinuous transmission spectrum and  $\text{Yb}_2\text{O}_3$  – a continuous transmission spectrum. The highest transmittance values are in the red and infrared regions of the spectrum for the samples with the lowest heating current

power of 0.6 kW. This is due to low grain size (Fig. 3, a, b and Fig. 4, a, b) and lower content of monoclinic phase oxide (Fig. 5). For  $\text{Er}_2\text{O}_3$  samples, transmission is 29 % and 52 % at the wavelength of 750 nm and 849 nm (Fig. 6, a).  $\text{Yb}_2\text{O}_3$  samples have a higher transparency in the infrared range – 72 % at 830 nm (Fig. 6, b).

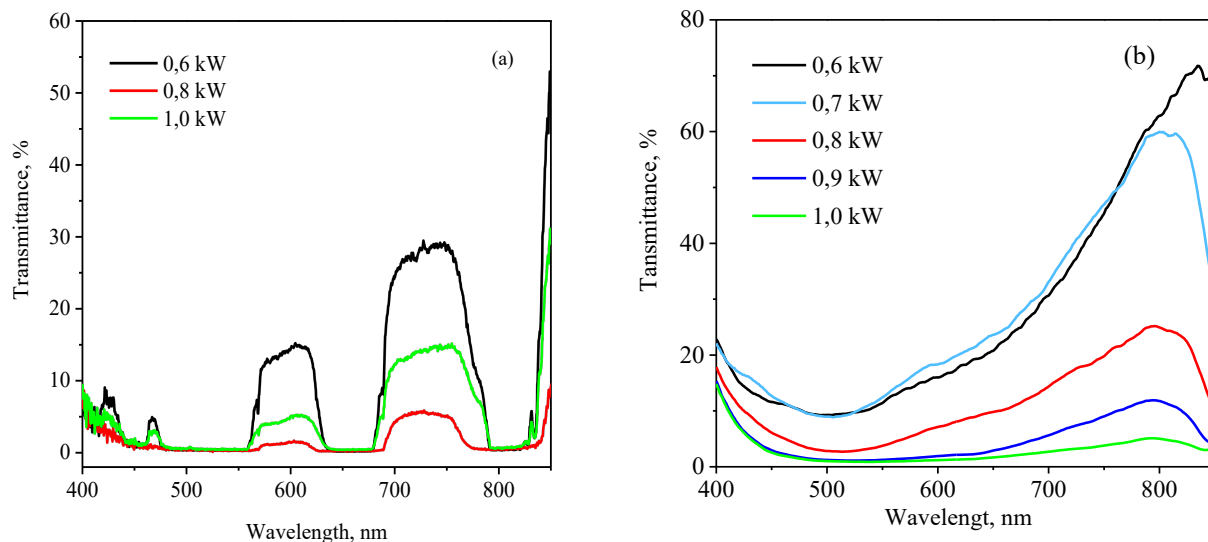


Figure 6. The transmission spectra of sintered  $\text{Er}_2\text{O}_3$  (a) and  $\text{Yb}_2\text{O}_3$  (b).

### Conclusion

The possibility of obtaining high-density optically transparent ceramic samples by sintering cubic modification  $\text{Er}_2\text{O}_3$  and  $\text{Yb}_2\text{O}_3$  oxides micro-powders at the pressure of 7 GPa and the heating current power of 1.0 kW in a high-pressure apparatus was shown.

Non-monotonic dependence of density on the heating current power has been established. The maximum density values of 9.2 g/cm<sup>3</sup> ( $\text{Er}_2\text{O}_3$ ) and 9.8 g/cm<sup>3</sup> ( $\text{Yb}_2\text{O}_3$ ) were achieved at 0.8 and 0.9 kW, respectively. It is shown that at sintering partial polymorphic transformation occurs by the cubic modification of initial powder oxide into monoclinic. The sintering process is accompanied by grain growth, which is more intense in the erbium oxide samples.

It has been found that the type of destruction of sintered oxide samples with the sintering temperature increase changes from intercrystallite to transcrystallite. It has been established that at sintering  $\text{Yb}_2\text{O}_3$  micropowder with particle size of 7.5–15  $\mu\text{m}$  at low temperature, dense microstructure similar to nanocrystalline, with grain size of 90–200 nm, which facilitates higher transparency in the

infrared wavelength range.  $\text{Er}_2\text{O}_3$  has a discontinuous transmission spectrum, and  $\text{Yb}_2\text{O}_3$  has a continuous transmission spectrum. The maximum transmission values for ceramic samples are 29% at a wavelength of 750 nm, 52% at 849 nm for  $\text{Er}_2\text{O}_3$  and 72% at 830 nm for  $\text{Yb}_2\text{O}_3$ .

### Acknowledgments

The work was carried out within the framework of task 2.8 of the State Program of Scientific Research “Materials Science, New Materials and Technologies” for 2021–2025 in the Republic of Belarus. The authors are grateful to researcher T.D. Malikina for assistance in preparing samples and analyzing their phase composition, as well as to S.V. Grigoriev, leading engineer of the Polytechnic Scientific and Production Association of the Belarusian National Technical University, for recording X-ray diffraction patterns. Special thanks to the “Belmikroanaliz” State Center of OJSC “INTEGRAL” – INTEGRAL Holding Management Company for assistance in obtaining micrographs of the sample structure using a scanning electron microscope.

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